



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Re: Attorney Docket No. Baumgarte 1-6-8In application of: Frank Baumgarte, Jianshu Chen, and Christof FallerSerial No.: 10/045,458  
Filed: 11/07/01  
Matter No.: 992.1013Group No.: Not yet assigned  
Examiner: Not yet assigned  
Phone No.: Not yet assignedFor: Backwards-Compatible Perceptual Coding of Spatial CuesAMENDMENT TRANSMITTALCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

☐ No additional fee for claims is required.

	Claims After Amendment	Highest No. Previously Paid For	Present Extra	Additional Fee
Total	72	minus 26 =	46 x 18 =	\$828
Independent	14	minus 6 =	8 x 88 =	\$704
Multiple Dependent Claim(s), if applicable			0 x 300 =	\$0
			TOTAL FEE	\$1,532

☐ It is hereby petitioned for an extension of time in accordance with 37 C.F.R. §1.136(a). The appropriate fee required by 37 C.F.R. §1.17 is calculated as shown below.Response filed within:

- ☐ first - \$ 110.00
- ☐ second - \$ 430.00
- ☐ third - \$ 980.00
- ☐ fourth - \$1,530.00
- ☐ fifth - \$2,080.00

month after time period set

☒ A check in the amount of \$1,532.00 is enclosed.☐ Please charge **Mendelsohn & Associates, P.C. Deposit Account No. 50-0782** the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication or credit any overpayment to **Deposit Account No. 50-0782**. A duplicate copy of this sheet is attached.☒ Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.☒ Any patent application processing fees under 37 C.F.R. §1.17.Date: 11/1/04  
Customer No. 46900  
Mendelsohn & Associates, P.C.  
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Attorneys for Applicants

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CUSTOMER NO. 46900

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. Baumgarte 1-6-8

Application of: Frank Baumgarte, Jiashu Chen, and Christof Faller

Serial No.: 10/045,458

Group Art Unit:

Not yet known

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Not yet known

Matter No.: 992.1013

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Not yet known

For: Backwards-Compatible Perceptual Coding of Spatial Cues

**PRELIMINARY AMENDMENT UNDER 37 CFR 1.115**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the application as follows:

11/16/2004 GWORDF1 00000029 10045458

01 FC:1201  
02 FC:1202

704.00 OP  
828.00 OP

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Certification Under 37 CFR 1.8

Date of Deposit 11/11/04

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

GERRY MARRERO  
(Name of person mailing)

Gerry Marrero  
(Signature of person mailing)